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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/778,142

IBM CORPORATION

02/07/2001

Yoshimoto Ishikawa

JP919990298US1

**CONFIRMATION NO. 8589** 

**FORMALITIES LETTER** 

DEPT. \*OC000000005850483\*

INTELLECTUAL PROPERTY LAW DEPT. P.O. BOX 218 YORKTOWN HEIGHTS, NY 10598

Date Mailed: 03/12/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/08/2001 SDIRETAL 00000132 090468

09778142

FILED UNDER 37 CFR 1.53(b)

02 FC:105

130.00 CH

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - are not on the correct size paper (see 37 CFR 1.84(f)) The size of the sheets on which drawings are made must be either 21.0 cm. by 29.7 cm. (DIN size A4) or 21.6 cm. by 27.9 cm (8 ½ by 11 inches);

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



In re application of: Yoshimoto Ishikawa et. al. Date: June 5, 2001

Serial No.: 09/778,142

Group Art Unit: 2873

Filed: February 7, 2001

Examiner:

For: ILLUMINATING-LIGHT CONTROLLER, PROJECTOR, AND

ILLUMINATING-LIGHT CONTROL METHOD

Assistant Commissioner for Patents Washington, DC 20231

#### DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated March 12, 2001, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Derek S. Jennings

Reg. Patent Agent/Engineer

Reg. No.: 41,473

Tel. No.: (914) 945-2144

IBM Corporation Intellectual Property Law Dept. P.O. Box 218 Yorktown Heights, New York 10598

Docket No.: JP919990298US1





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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

fre application of: Yoshimoto Ishikawa et. al. Docket No.: JP919990298US1

Serial No.: 09/778,142

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Assistant Commissioner for Patents Washington, D.C. 20231

# **CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)**

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Non-Provisional Application
Petition and Fee for Extension of Time (37 CFR 1.136(a))
Deposit Account Authorization Form
Declaration and Power of Attorney
Recordation Form Cover Sheet
Assignment to IBM
Information Disclosure Transmittal Letter
PTO-1449 Form with 1 reference attached
Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

on June 5, 2001

Jean Denault (Type or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)